THEN

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William E. Jack, Ira Schildkraut and Julie Forney Menin

Application No.: 10/657,298

Group No.: 1635

Filed: September 8, 2003

Examiner: not yet assigned

For: Use of Site-Specific Nicking Endonucleases to Create Single-Stranded Regions and Applications

Thereof

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

## RESPONSE TO "NOTICE TO FILE CORRECTED APPLICATION PAPERS"

- 1. A copy of the "NOTICE TO FILE CORRECTED APPLICATION PAPERS" mailed for this application on July 8, 2004 is attached.
- 2. In response to the requirements of the NOTICE applicant submits herewith substitute:
  - 10 sheets of formal drawings
- 3. Also enclosed is a copy of document filed with the application on September 8, 2003 entitled "Preliminary Amendment, Information Disclosure Statement and Sequence Listing." Note that on page 6 of this document, Applicants requested that the Sequence Listing be transferred from the parent case to the present case. Please proceed accordingly.

### CERTIFICATION UNDER 37 C.F.R. "1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is *mandatory;* Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

X deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a) X with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

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TRANSMISSION

G facsimile transmitted to the Patent and Trademark Office, (703)

Signature

Date: August 25, 2004

Leslie Goldberg\_

(type or print name of person certifying)

<sup>\*</sup> Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

# A duplicate of this paper is attached.

Date: August 25, 2004

Harriet M. Strimpel, D. Phil. Registration No. 37,008 New England Biolabs, Inc. 32 Tozer Road Beverly, MA 01915 978-927-5054, ext. 373

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/657,298

09/08/2003

William E. Jack

NEB-180-DIV

28986 NEW ENGLAND BIOLABS, INC. 32 TOZER ROAD BEVERLY, MA 01915 CONFIRMATION NO. 9741
FORMALITIES LETTER
\*OC000000013184914\*

Date Mailed: 07/08/2004

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 2.
- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to: Mail Stop Missing Parts

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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY